

FORM PTO-1082  
THE COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Case Docket No. 202N-099

Sir:

Transmitted herewith for filing is the patent application of

Inventor: Douglas J. Herst, Peter Y.Y. Ngai and Hue Ly

For : LUMINAIRE HAVING A MOCK LIGHT SOURCE FOR  
IMPROVED SOURCE BRIGHTNESS CONTROL AND METHOD

Enclosed are:

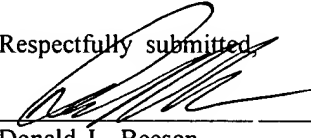
- ☒ Five sheets of formal drawings.  
☐ An assignment of the invention to \_\_\_\_\_ application.  
☐ A certified copy of a \_\_\_\_\_ application.  
☐ An associate power of attorney.  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27. (2 unsigned)  
☒ Declaration for Patent Application (unsigned)

The filing fee has been calculated as shown below:

(Col.1)		(Col.2)	Small Entity		or	Other Than A Small Entity	
For:	No. Filed	No. Extra	Rate	Fee		Rate	Fee
Basic Fee				\$345			\$690
Total Claims	<u>44-20</u>	= * <u>24</u>	x 9=	\$ _____		x 18=	\$ <u>432</u>
Indep Claims	<u>6-3</u>	= * <u>3</u>	x 39=	\$ _____		x 78=	<u>234</u>
<input type="checkbox"/> Multiple Dependent Claim Presented			x 130=	\$ _____		x 260=	\$ <u>-0-</u>
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2			Total	\$ _____	or	Total	\$ <u>1356</u>

- ☐ Please charge my Deposit Account No. 19-2383 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.  
☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.  
☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-2383. A duplicate copy of this sheet is attached.  
☐ Any additional filing fees required under 37 CFR 1.16.  
☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account 19-2383. A duplicate copy of this sheet is enclosed.  
☐ Any patent application processing fees under CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1c841 U.S. PTO  
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In re application of : Douglas J. Herst  
Peter Y.Y. Ngai  
Hue Ly

Atty Docket: 202N-095

Serial No. : To be assigned

Filing Date : Herewith

For : LUMINAIRE HAVING A MOCK LIGHT SOURCE FOR  
IMPROVED SOURCE BRIGHTNESS CONTROL AND METHOD

Asst. Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231  
Dear Sir:

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 348714980US  
Date of Deposit: August 17, 2000

EL348714980US

I hereby certify that the following attached papers:

1. Patent Application;
2. Five sheets of formal drawings;
3. Patent Application Transmittal Sheet; and
8. Stamped self-addressed postcard for confirmation of receipt by PTO Mail Room

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231.

By Rhea A. Wilson  
Name: RHEA A. WILSON